

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 3659-40  
C# M#

O'MAHONY ET AL

TC/A.U. 3762

Serial No. 10/073,855

Examiner: Leslie R. DEAK

Filed: February 14, 2002

Date: October 4, 2004

Title: METHOD AND APPARATUS FOR AN EXTRACORPOREAL TREATMENT DEVICE  
TO CONTROL BLOOD WITHDRAWAL AND INFUSIONCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE/AMENDMENT/LETTER**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☐ **Correspondence Address Indication Form Attached.****Fees are attached as calculated below:**

Total effective claims after amendment 0 minus highest number  
previously paid for 20 (at least 20) = 0 x \$ 18.00 \$ 0.00

Independent claims after amendment 0 minus highest number  
previously paid for 3 (at least 3) = 0 x \$ 88.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$300.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$110.00/1 month; \$430.00/2 months; \$980.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$790.00) \$ 0.00

☐ Please enter the previously unentered, filed

☐ Submission attached

**Subtotal \$ 0.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: **Petition Under 37 CFR 1.183 for Request for Certificate of Correction of Applicants'** 100.00

**Mistake under 37 C.F.R. 1.323**

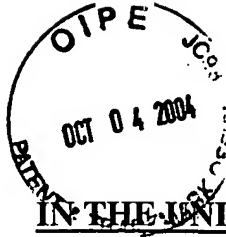
**TOTAL FEE ENCLOSED \$ 100.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
JHN:lcb

NIXON & VANDERHYE P.C.  
By Atty: Jeffry H. Nelson, Reg. No. 30,481

Signature: JHN



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent of

O'MAHONY ET AL

Atty. Ref.: 3659-40

Patent No.: 6,796,955

Office of Petitions

Issued: September 28, 2004

For: METHOD AND APPARATUS FOR AN EXTRACORPOREAL  
TREATMENT DEVICE TO CONTROL BLOOD WITHDRAWAL AND  
INFUSION

\* \* \* \* \*

October 4, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PETITION UNDER 37 C.F.R. §1.183 FOR CERTIFICATE OF  
CORRECTION OF APPLICANTS' MISTAKE UNDER 37 C.F.R. §1.323**

The above-identified patent issued with an erroneous assignee's name (furnished incorrectly in item 3 of the issue fee transmittal, form PTOL-85B). The correct name of the assignee is CHF Solutions of N.Y., N.Y. as shown by the official records based on an assignment recorded against this application at reel 12751 frame 937, copy attached.

Pursuant to the provisions of MPEP §1481, Correction of Assignees' Names, the following statements are made and actions are taken:

- Your petitioner requests that 37 C.F.R. §3.81(a) be waived to permit the correct name of the assignee to be provided after issuance of this patent.
- The failure to include the correct assignee city and state on PTOL-85B was inadvertent.
- A copy of the Notice of Recordation of Assignment Document is attached.
- Our check in the amount specified in 37 C.F.R. 1.17(h) is attached.

10/05/2004 SDENBOB1 00000043 6796955

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100.00 0P


886112

Please see the attached Request for Certificate of Correction of Applicants'  
Mistake filed under 37 C.F.R. §1.183.

Favorable action is solicited.

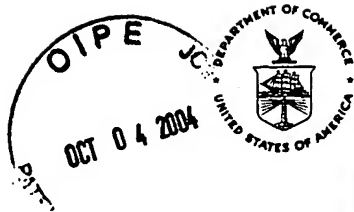
Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_  
Jeffrey H. Nelson  
Reg. No. 30,481

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JHN  
3659-40



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 03, 2002

PTAS

NIXON & VANDERHYE P.C.  
JEFFRY H. NELSON  
1100 NORTH GLEBE ROAD, 8TH FLOOR  
ARLINGTON, VIRGINIA 22201



\*102046068A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/02/2002

REEL/FRAME: 012751/0937

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:**

O'MAHONY, JOHN J.

DOC DATE: 02/06/2002

**ASSIGNOR:**

HALPERT, ANDREW J.

DOC DATE: 02/06/2002

**ASSIGNOR:**

RYCHLICK, EDWARD G.

DOC DATE: 02/06/2002

**ASSIGNOR:**

GELFAND, MARK

DOC DATE: 02/06/2002

**ASSIGNEE:**

CHF SOLUTIONS, INC.  
3960 BROADWAY  
NEW YORK, NEW YORK 10032

SERIAL NUMBER: 10073855

FILING DATE: 02/14/2002

PATENT NUMBER:

ISSUE DATE:

012751/0937 PAGE 2

MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of

O'MAHONY ET AL

Atty. Ref.: 3659-40

Patent No. 6,796,955

Issued: September 28, 2004

For: METHOD AND APPARATUS FOR AN  
EXTRACORPOREAL TREATMENT DEVICE TO  
CONTROL BLOOD WITHDRAWAL AND INFUSION

\* \* \* \* \*

October 4, 2004

Office of Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR A CERTIFICATE OF CORRECTION**

The patentees in the above-identified patent hereby request that the Patent and Trademark Office issue an official Certificate of Correction pursuant to 37 C.F.R. § 1.323 for the following mistake made by the applicant.

At the front page, field 73, the city and state of the assignee should be deleted..

Since the error arose on the part of the patentee(s), a check in the amount of \$100.00 is submitted herewith securing issuance of the Certificate.

Attached hereto is a draft Official Certificate of Correction for use by the Patent and Trademark Office correcting the above-identified matter.


The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper

O'MAHONY ET AL  
**Patent No. 6,796,955**

hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate  
copy of this sheet is attached.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:   
Jeffrey H. Nelson  
Reg. No. 30,481

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Telephone: (703) 816-4000  
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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,796,955  
DATED : September 28, 2004  
INVENTOR(S) : O'Mahony et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, at field 73, the assignee's address should be deleted.

MAILING ADDRESS OF SENDER:

PATENT NO. \_\_\_\_\_

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*